

# PL SC 550: Core Seminar in Comparative Politics

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## Course Information

Class Time: Monday 1.00-4.00

Place: 236 [Pond Lab](#)

Course Website: Canvas

## Contact Information for Professor

Name: Matt Golder

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Office: 306 [Pond Lab](#)

Office Hours: Wednesday 10-11.

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## Course Description

This course is the core seminar for the field of comparative politics in the political science Ph.D. program. It provides an introduction to the dominant questions, theories, and empirical research in comparative politics. While international politics concerns itself with the study of political phenomena that occur predominantly *between* countries, comparative politics concerns itself with the study of political phenomena that occur predominantly *within* countries. As such, comparative politics is a vast field of research. The substantive topics covered in this course include, among other things, democracy and development, democratic performance, authoritarian politics, political institutions, culture and identity issues, civil war, elections and political parties, representation and accountability, and political economy. The course has two primary goals: (i) to prepare students for a research career in comparative politics by providing a general survey of the field, and (ii) to help prepare doctoral candidates for the comprehensive examination in comparative politics.

## Course Requirements

1. *Participation* (10%). Attendance is mandatory. All students are required to have completed the readings for each week before class begins, and everyone should be prepared to discuss the readings during class. Intelligent participation in departmental talks and at conferences will be highly valued throughout your professional career and you should practice this ability now. I expect you to provide evidence that you have done the readings in a thoughtful and careful manner. In order to help this process along, I strongly suggest that you bring written notes to class. I recommend, but do *not* require, that you also attend my undergraduate comparative politics class, which is taught Monday, Wednesday, Friday 9:05-9:55 in 100 [Huck Life Sciences](#).

2. *Discussion Leadership* (10%). Each student will be assigned two seminars to lead. The discussion leader should prepare a list of discussion questions, and email them to the rest of the class by 4pm on the day preceding the seminar (Sunday). The depth of preparation evidenced in these questions, along with the leading of the seminar itself, will determine the student's grade. In addition to whatever specific questions the discussion leader identifies, he/she should also be prepared to discuss the following substantive and methodological topics:

*Substantive:*

- What question motivates the research? Is it clearly expressed? Why is it important?
- What is the goal of the researcher? Description, explanation, prediction?
- What is the theory? Is it clear?
- Are the concepts in the theory clearly defined?
- What is the unit of analysis?
- Are the key actors in the theory individuals, groups, states, or organizations? Does it matter?
- What are the observable implications of the theory?

*Methodological:*

- Which implications does the researcher test? What is the central empirical strategy? Is it a qualitative or quantitative design? Is it cross-sectional or over time?
- Does this strategy adequately test the theory?
- Are the theoretical concepts validly measured?
- How are the cases selected?

3. *Review* (20%). Students will write a review for an anonymous manuscript that I will provide. Writing reviews is one of our professional duties, and so the earlier you learn how to write them, the better.
4. *Final Exam* (60%). The final exam will be similar to the morning component of the doctoral comprehensive exam in comparative politics. You will be asked to answer two out of a possible four questions. Unlike the actual comprehensive exam, though, the final exam in this class will be a take-home exercise. You will receive the exam on a Friday and have the weekend to complete your response. Exams will be due in my mailbox at noon the following Monday.

No incomplete grades will be given unless there is an agreement between the instructor and the student prior to the end of the course. The instructor retains the right to determine legitimate reasons for an incomplete grade.

## **Academic Integrity**

The Department of Political Science, along with the College of the Liberal Arts and the University, takes violations of academic dishonesty seriously. Observing basic honesty in one's work, words, ideas, and actions is a principle to which all members of the community are required to subscribe.

All course work by students is to be done on an individual basis unless an instructor clearly states that an alternative is acceptable. Any reference materials used in the preparation of any assignment must be explicitly cited. Students uncertain about proper citation are responsible for checking with their instructor.

In an examination setting, unless the instructor gives explicit prior instructions to the contrary, whether the examination is in-class or take-home, violations of academic integrity shall consist but are not limited to any attempt to receive assistance from written or printed aids, or from any person or papers or electronic devices, or of any attempt to give assistance, whether the one so doing has completed his or her own work or not.

Lying to the instructor or purposely misleading any Penn State administrator shall also constitute a violation of academic integrity.

In cases of any violation of academic integrity it is the policy of the Department of Political Science to follow procedures established by the College of the Liberal Arts. More information on academic integrity and procedures followed for violation can be found at <http://www.la.psu.edu/current-students/student-services/academic-integrity/academic-integrity>.

## **Counseling and Psychological Services**

Many students at Penn State face personal challenges or have psychological needs that may interfere with their academic progress, social development, or emotional wellbeing. The university offers a variety of confidential services to help you through difficult times, including individual and group counseling, crisis intervention, consultations, online chats, and mental health screenings. These services are provided by staff who welcome all students and embrace a philosophy respectful of clients' cultural and religious backgrounds, and sensitive to differences in race, ability, gender identity, and sexual orientation.

[Counseling and Psychological Services at University Park \(CAPS\)](#): 814-863-0395.

[Counseling and Psychological Services at Commonwealth Campuses](#).

Penn State Crisis Line (24 hours/7 days/week): 877-229-6400.

Crisis Text Line (24 hours/7 days/week): Text LIONS to 741741.

## **Disabilities**

Penn State welcomes students with disabilities into the University's educational programs. Every Penn State campus has an office for students with disabilities. The Student Disability Resources Web site provides contact information for every Penn State campus. For further information, please visit the [Student Disability Resources Web site](#).

In order to receive consideration for reasonable accommodations, you must contact the appropriate disability

services office at the campus where you are officially enrolled, [participate in an intake interview](#), and provide [documentation](#). If the documentation supports your request for reasonable accommodations, [your campus's disability services office](#) will provide you with an accommodation letter. Please share this letter with your instructors and discuss the accommodations with them as early in your courses as possible. You must follow this process for every semester that you request accommodations.

## **Educational Equity/Report Bias Statements**

Penn State takes great pride to foster a diverse and inclusive environment for students, faculty, and staff. Acts of intolerance, discrimination, or harassment due to age, ancestry, color, disability, gender, gender identity, national origin, race, religious belief, sexual orientation, political belief, or veteran status are not tolerated and can be reported through Educational Equity via the [Report Bias webpage](#).

University Police Services, University Park: 814-863-1111.

Multicultural Resource Center, Diversity Advocate for Students: 814-865-1773.

Office of the Vice Provost for Educational Equity: 814-865-5906.

Office of the Vice President for Student Affairs: 814-865-0909.

Affirmative Action Office: 814-863-0471.

## **Readings and Required Texts:**

Books marked with an asterisk are in hardback only. Please come to my office to pick up the page proofs for these books.

- Ansell, Ben & David Samuels. 2014. *Inequality and Democratization: An Elite-Competition Approach*. New York: Cambridge University Press.
- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics (Third Edition)*. Washington D.C.: CQ Press. [This will be useful for background reading].
- Dancygier, Rafaela. 2010. *Immigration and Conflict in Europe*. New York: Cambridge University Press.
- \*\*Driscoll, Jesse. 2015. *Warlords and Coalition Politics in Post-Soviet States*. New York: Cambridge University Press.
- Htun, Mala. 2016. *Inclusions Without Representation: Gender Quotas and Ethnic Reservations in Latin America*. New York: Cambridge University Press.
- Kedar, Orit. 2009. *Voting for Policy, Not Parties: How Voters Compensate for Power Sharing*. New York: Cambridge University Press.

- King, Gary, Robert Keohane & Sidney Verba. 1994. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton: Princeton University Press.
- Manion, Melanie. 2015. *Information for Autocrats: Representation in Chinese Local Congresses*. New York: Cambridge University Press.
- Przeworski, Adam, Michael E. Alvarez, José Antonio Cheibub & Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press.
- Stokes, Susan C., Thad Dunning, Marcello Nazareno, & Valeria Brusco. 2013. *Brokers, Voters, and Clientelism: The Puzzle of Distributive Politics*. New York: Cambridge University Press.

In addition to the books listed above, we will read a series of articles and unpublished manuscripts. You are responsible for locating the required readings.

Additional recommended readings are listed below each topic on the schedule. These readings are included in order to help guide the doctoral candidates to prepare for the comprehensive examination in comparative politics. While the list of recommended readings may appear lengthy, it should definitely not be seen as exhaustive.

## Tentative Schedule

### Week 1 (August 21): Introduction, Comparative Politics, Science, Logic

- *Background:*
  - Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapters 1-2.
- *Required:*
  - Lave, Charles A. & James March. 1975. *An Introduction to Models in the Social Sciences*. New York: Harper and Row. pp. 2-84.
  - Magee, Brian. 1973. *Philosophy and the Real World: An Introduction to Karl Popper*. Open Court, La Salle, Illinois. pp. 13-54.
- *Recommended:*
  - Balashov, Yuri, & Alex Rosenberg. 2001. *Philosophy of Science: Contemporary Readings*. New York: Routledge. Chapters 3, 10, 17, 25, 26.
  - Boix, Carles & Susan Carol Stokes. 2009. *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press.
  - Kuhn, Thomas. [1962] 1996. *The Structure of Scientific Revolutions*. Chicago: Chicago University Press.
  - Lakatos, Imre. 1970. “Falsification and the Methodology of Scientific Research Programmes.” In Imre Lakatos & Alan Musgrave (ed). *Criticism and the Growth of Knowledge*. New York: Cambridge University Press. pp. 91-195.
  - Popper, Karl. [1935] 2003. *The Logic of Scientific Discovery*. New York: Routledge.

### Week 2 (August 28): Comparative Method, Qualitative Comparative Analysis, Counterfactuals

- *Required:*
  - Fearon, James. 1991. “Counterfactuals and Hypothesis Testing in Political Science.” *World Politics* 43: 169-195.
  - Hug, Simon. 2013. “Qualitative Comparative Analysis: How Inductive Use and Measurement Error Lead to Problematic Inference.” *Political Analysis* 21: 252-265.
  - King, Gary, Robert Keohane & Sidney Verba. 1994. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton, Princeton University Press. Chapters 1-3.
  - Paine, Jack. 2016. “Set-theoretic Comparative Methods: Less Distinctive Than Claimed.” *Comparative Political Studies* 49(6): 703-741.
  - Seawright, Jason. 2005. “Qualitative Comparative Analysis vis-à-vis Regression.” *Studies in Comparative International Development* 40: 3-26. (See also pp. 39-42).

- Sekhon, Jasjeet. 2004. “Quality Meets Quantity: Case Studies, Conditional Probability, and Counterfactuals.” *Perspectives on Politics* 2: 281-293.

- *Recommended:*

*Comparative Method, Mill’s Methods*

- Abadie, Alberto, Alexis Diamond, & Jens Hainmueller. 2014. “Comparative Politics and the Synthetic Control Method.” *American Journal of Political Science*.
- Glynn, Adam N. & Nahomi Ichino. Forthcoming. “Using Qualitative Information to Improve Causal Inference.” *American Journal of Political Science*.
- Lieberson, Stanley. 1991. “Small N’s and Big Conclusions: An Examination of the Reasoning in Comparative Studies Based on a Small Number of Cases.” *Social Forces* 70: 307-320.
- Lieberson, Stanley. 1994. “More on the Uneasy Case for Using Mill-Type Methods in Small-N Comparative Studies.” *Social Forces* 72: 1225-1237.
- Lijphart, Arend. 1971. “Comparative Politics and Comparative Method.” *American Political Science Review* 65: 682-693.
- Lijphart, Arend. 1975. “The Comparable-Cases Strategy in Comparative Research.” *Comparative Political Studies* 8: 158-177.
- Mill, John Stuart. [1848] “Two Methods of Comparison,” *A System of Logic*, reprinted in Amitai Etzioni & L. Dubow. (eds.) 1970. *Comparative Perspectives: Theories and Methods*. Boston: Little, Brown. pp. 205-213.
- Przeworski, Adam, & Henry Teune. 1970. *The Logic of Comparative Social Inquiry*. New York: Wiley-Interscience.
- Sekhon, Jasjeet. 2010. “The Neyman-Rubin Model of Causal Inference and Estimation via Matching Methods.” In Box-Steffensmeier, Janet, Henry Brady, and David Collier, eds. *The Oxford Handbook of Political Methodology*. New York: Oxford University Press. pp. 271-299.
- Skocpol, Theda & Margaret Somers. 1980. “The Uses of Comparative History in Macrosocial Inquiry.” *Comparative Studies in Society and History*. 22(2): 174-197.

*Qualitative Comparative Analysis, Causal Complexity*

- Braumoeller, Bear F. 2003. “Causal Complexity and the Study of Politics.” *Political Analysis* 11: 209-233.
- Braumoeller, Bear F., & Gary D. Goertz. 2000. “The Methodology of Necessary Conditions.” *American Journal of Political Science* 44: 844-58.
- Clark, William Roberts, Michael J. Gilligan & Matt Golder. 2006. “A Simple Multivariate Test for Asymmetric Hypotheses.” *Political Analysis* 14: 311-331.
- Collier, David. 2014. “Symposium. The Set-Theoretic Comparative Method: Critical Assessment and the Search for Alternatives.” *Newsletter of the American Political Science Association Organized Section for Qualitative and Multi-Method Research*.
- Collier, David. 2014. “QCA Should Set Aside the Algorithms.” *Sociological Methodology*.

- Krogslund, Chris & Katherine Michel. 2014. “Can QCA Do Causal Inference? An Assessment and Proposed Alternative.” Paper presented at the Annual Meeting of the American Political Science Association, Washington D.C.
- Lucas, Samuel R. & Alisa Szatrowski. 2014. “Qualitative Comparative Analysis in Critical Perspective.” *Sociological Methodology*.
- Ragin, Charles C. 1987. *The Comparative Method: Moving Beyond Qualitative and Quantitative Strategies*. Berkeley: University of California Press.
- Ragin, Charles C. 2000. *Fuzzy-Set Social Science*. Chicago: University of Chicago Press.
- Ragin, Charles C. 2008. *Redesigning Social Inquiry: Fuzzy Sets and Beyond*. Chicago: University of Chicago Press.
- Rihoux, Benoît & Axel Marx. 2013. “QCA, 25 Years After *The Comparative Method*: Mapping, Challenges, and Innovations – Mini-Symposium.” *Political Research Quarterly* 66: 167-235.

#### *Causality and Counterfactuals*

- Holland, Paul. 1986. “Statistics and Causal Inference” with comments by D. Rubin, D. Cox, C. Glymour, & C. Granger, and rejoinder by P. Holland. *Journal of the American Statistical Association* 81: 945-970.
- King, Gary, and Langche Zeng. 2006. “The Dangers of Extreme Counterfactuals.” *Political Analysis* 14: 131-59.
- King, Gary, and Langche Zeng. 2007. “When Can History be Our Guide? The Pitfalls of Counterfactual Inference.” *International Studies Quarterly* 51: 183-210.
- Mackie, John L. 1965. “Causes and Conditions.” *American Philosophical Quarterly* 2: 245-264.
- Pearl, Judea. 2000. *Causality: Models, Reasoning, and Inference*. New York: Cambridge University Press.
- Rosenbaum, Paul R. 2002. *Observational Studies* (2nd edn). New York: Springer Verlag.

### **Week 3 (September 4): No Class – Labor Day**

### **Week 4 (September 11): Case Selection, Process Tracing, Mixed Methods**

- *Required:*

- Collier, David, Henry E. Brady, and Jason Seawright. 2010. “Sources of Leverage in Causal Inference: Toward an Alternative View of Methodology.” In Brady, Henry & David Collier. 2010. *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Lanham, MD.: Rowman & Littlefield Publishers. Chapter 13. [See also follow-up pieces].
  - (i) Beck, Nathaniel. 2006. “Is Causal-Process Observation an Oxymoron?” *Political Analysis* 14: 347-352.
  - (ii) Brady, Henry E., David Collier, & Jason Seawright. 2006. “Toward a Pluralistic Vision of Methodology.” *Political Analysis* 14: 353-368.



- (iii) Beck, Nathaniel. 2010. "Causal Process 'Observation': Oxymoron or (Fine) Old Wine." *Political Analysis* 18: 499-505.
- (iv) David Collier, Henry E. Brady, & Jason Seawright. 2010. "Outdated Views of Qualitative Methods: Time to Move On." *Political Analysis* 18: 506-513.
- Geddes, Barbara. 2003. *Paradigms and Sand Castles: Theory Building and Research Design in Comparative Politics*. Ann Arbor: University of Michigan Press. Chapters 3-4.
- Gerring, John. 2009. "The Case Study: What it is and What it Does." In Boix, Carles, & Susan C. Stokes. (eds.) *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press.
- King, Gary, Robert Keohane & Sidney Verba. 1994 *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton, Princeton University Press. Chapters 4-6.

- *Recommended:*

*Mixed Methods*

- Brady, Henry & David Collier. 2010. *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Lanham, MD.: Rowman & Littlefield Publishers.
- Laitin, David D. 2003. "The Perestroika Challenge to Social Science." *Politics and Society* 31: 163-184.
- Laitin, David D. et al. 1995. "The Qualitative-Quantitative Disputation: Gary King, Robert Keohane and Sidney Verba's *Designing Social Inquiry: Scientific Inference in Qualitative Research*." *American Political Science Review* 89: 454-481.
- Lieberman, Evan S. 2005. "Nested Analysis as a Mixed-Method Strategy for Comparative Research." *American Political Science Review* 99: 435-452.

*Case Studies, Process Tracing, and Case Selection*

- Achen, Christopher & Duncan Snidal. 1989. "Rational Deterrence Theory and Comparative Case Studies." *World Politics* 41: 143-169.
- Bates, Robert H., Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, & Barry R. Weingast. 1998. *Analytic Narratives*. Princeton, NJ. Princeton University Press.
- Collier, David. 2008. "Symposium: Case Selection, Case Studies, and Causal Inference." *Newsletter of the American Political Science Association Organized Section for Qualitative and Multi-Method Research* 6: 2- 16.
- Collier, David. 2011. "Understanding Process Tracing." *PS: Political Science and Politics* 44: 823-830.
- Collier, David and James Mahoney. 1996. "Insights and Pitfalls: Selection Bias in Qualitative Research." *World Politics* 49: 56-91.
- Dion, Douglas. 1998. "Evidence and Inference in the Comparative Case Study." *Comparative Politics* 30: 127-145.
- George, Alexander L. & Andrew Bennett. 2005. *Case Studies and Theory Development in the Social Sciences*. Cambridge: MIT Press.

- Gerring, John. 2007. *Case Study Research: Principles and Practices*. New York: Cambridge University Press.
- Gerring, John. 2010. “Causal Mechanisms: Yes, But . . .” *Comparative Political Studies* 43: 1499-1526.
- Gerring, John & Jason Seawright. 2008. “Case Selection Techniques in Case Study Research: A Menu of Qualitative and Quantitative Options.” *Political Research Quarterly* 61: 294-308.
- Mahoney, James and Gary Goertz. 2004. “The Possibility Principle: Choosing Negative Cases in Comparative Research.” *American Political Science Review* 98: 671-686.
- Sambanis, Nicholas. 2004. “Using Case Studies to Expand Economic Models of Civil War.” *Perspectives on Politics* 2: 259-279.

### **Week 5 (September 18): State Formation, Field Research, DA-RT**

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapter 4.

- *Required:*

- Autesserre, Séverine. 2014. *Peaceland: Conflict Resolution and the Everyday Politics of International Intervention*. New York: Cambridge University Press. Appendix: An Ethnographic Approach.
- [Data Access and Research Transparency Source Documents](#). *CP-APSA Newsletter* 26: 10-25.
- Driscoll, Jesse. 2015. *Warlords and Coalition Politics in Post-Soviet States*. New York: Cambridge University Press.
- Driscoll, Jesse. 2015. “[Can Anonymity Promises be Credible in Police States.](#)” *CP-APSA Newsletter* 25: 4-7.
- Golder, Matt & Sona Golder. 2016. “[Letter from the Editors: Symposium on Data Access and Research Transparency \(DA-RT\).](#)” *CP-APSA Newsletter* 26: 1-9.

- *Recommended:*

- Field Research*

- Brand, H. Russell. 2006. “Field Notes: How to Take Them, Code Them, Manage Them.” Chapter 14 of *Research Methods in Anthropology: Qualitative and Quantitative Approaches* (4th Edition). Lanham, MD: AltaMira Press.
- Brand, H. Russell. 2006. “Interviewing: Unstructured and Semistructured.” Chapter 9 of *Research Methods in Anthropology: Qualitative and Quantitative Approaches* (4th Edition). Lanham, MD: AltaMira Press.
- Lareau, Annette & Jeffrey Shultz. 1996. *Journeys Through Ethnography: Realistic Accounts of Fieldwork*. Westview Press.

- Lofland, John, David Snow, Leon Anderson, & Lyn Lofland. 2005. *Analyzing Social Settings: A Guide to Qualitative Observation and Analysis* (4th Edition). Belmont, CA: Wadsworth.
- Mosley, Layna (ed). 2013. *Interview Research in Political Science*. Ithaca: Cornell University Press.
- Snow, David A., Calvin Morrill, & Leon Anderson. 2003. “Elaborating Analytic Ethnography: Linking Fieldwork and Theory.” *Ethnography* 4: 181-200.
- Wood, Elisabeth Jean Wood. 2008. “Field Research.” Boix, Carles & Susan Stokes (eds.) *The Handbook of Comparative Politics*. New York: Oxford University Press.
- Wood, Elisabeth Jean. 2006. “The Ethical Challenges of Field Research in Conflict Zones.” *Qualitative Sociology* 29: 307-341.

#### *Data Access and Research Transparency*

- Büthe, Tim & Alan M. Jacobs. 2015. “Symposium: Transparency in Qualitative and Multi-method Research.” *Qualitative & Multi-method Research Newsletter* 13: 1-64.
- [Data Access and Research Transparency \(DA-RT\) website](#).
- Golder, Matt & Sona Golder. 2016. “Symposium: Data Access and Research Transparency (DA-RT).” *CP-APSA Newsletter* 26: 1-64
- King, Gary. 1995. “Replication, Replication.” *PS: Political Science and Politics* 28: 443-499.
- King, Gary. 2003. “The Future of Replication.” *International Studies Perspectives* 4: 72-707.
- Lupia, Arthur & Colin Elman. 2014. “Symposium: Openness in Political Science – Data Access and Research Transparency.” *PS: Political Science and Politics* 47: 19-83.
- Moravcsik, Andrew. 2010. “Active Citation: A Precondition for Replicable Qualitative Research.” *PS: Political Science and Politics* 43: 29-35.
- National Science Foundation. 2010. “[Data Management Plan Policy for NSF SBE Directorate Proposals and Awards](#).”
- [Qualitative Data Repository website](#)
- [Qualitative Transparency Deliberations \(QTD\) website](#).
- UK Data Archive (Verlee van den Eynden, Louise Corti, Matthew Woollard, Libby Bishop, Laurence Horton). 2011. “[Managing and Sharing Data: Best Practice for Researchers](#).”

#### *State Making and State Failure*

- Bates, Robert H. 2008. *When Things Fell Apart: State Failure in Late-Century Africa*. New York: Cambridge University Press.
- Boix, Carles. 2015. *Political Order and Inequality: Their Foundations and their Consequences for Human Welfare*. New York: Cambridge University Press.
- Finer, Samuel E. 1975. “State- and Nation-Building in Europe: The Role of the Military.” In Charles Tilly (ed.) *The Formation of National States in Western Europe*. Princeton, NJ: Princeton University Press. Chapter 2.
- Geddes, Barbara. 1994. *Politician’s Dilemma: Building State Capacity in Latin America*. Berkeley: University of California Press.

- Hagmann, Tobias, & Markus V. Hoehne. 2009. “Failures of the State Failure Debate: Evidence from the Somali Territories.” *Journal of International Development* 21: 42-57.
- Herbst, Jeffrey. 2000. *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton, NJ: Princeton University Press.
- Huntington, Samuel P. 1968. *Political Order in Changing Societies*. New Haven: Yale University Press.
- King, Gary & Langche Zeng. 2001. “Improving Forecasts of State Failure.” *World Politics* 53: 623-658.
- Lemke, Douglas. 2003. “African Lessons for International Relations Research.” *World Politics* 56: 114-138.
- Levi, Margaret. 1988. *Of Rule and Revenue*. Berkeley: University of California Press.
- North, Douglas C. 1981. *Structure and Change in Economic History*. New York: Norton.
- North, Douglas C., & Robert Paul Thomas. 1973. *The Rise of the Western World: A New Economic History*. New York: Cambridge University Press.
- Rokkan, Stein. 1975. “Dimensions of State Formation and Nation-Building.” In Charles Tilly (ed.) *The Formation of National States in Western Europe*. Princeton, NJ: Princeton University Press. Chapter 8.
- Rotberg, Robert I. (ed.) 2003. *When States Fail: Causes and Consequences*. Princeton, NJ: Princeton University Press.
- Spruyt, Henrik. 1994. *The Sovereign State and its Competitors*. Princeton, NJ: Princeton University Press.
- Tilly, Charles. 1992. *Coercion, Capital, and European States, AD 990-1992*. Cambridge, MA: Blackwell.
- Tilly, Charles. 1985. “War Making and State Making as Organized Crime.” In Peter B. Evans, Dietrich Rueschmeyer, & Theda Skocpol (ed.) *Bringing the State Back In*. New York: Cambridge University Press.
- Waldner, David. 1999. *State Building and Late Development*. Ithaca: Cornell University Press.

#### *Conceptualizing the State*

- Almond, Gabriel A. 1988. “The Return to the State.” *American Political Science Review* 82: 853-874.
- Evans, Peter B., Dietrich Rueschemeyer, & Theda Skocpol (eds). 1985. *Bringing the State Back In*. New York: Cambridge University Press.
- Mitchell, Timothy. 1991. “The Limits of the State: Beyond Statist Approaches and Their Critics.” *American Political Science Review* 85: 77-96.
- Htun, Mala. 2005. “What it Means to Study Gender and the State.” *Politics and Gender* 1: 157-166.
- Nettl, J. P. 1968. “The State as a Conceptual Variable.” *World Politics* 20: 559-592.
- Nordlinger, Eric. 1981. *On the Autonomy of the Democratic State*. Cambridge: Harvard University Press.

### *Nation-States and National Identity*

- Anderson, Benedict. 1983. *Imagined Communities: Reflections on the Origin and Spread of Nationalism*. London: Verso Press.
- Brubaker, Rogers. 1992. *Citizenship and Nationhood in France and Germany*. Cambridge: Harvard University Press.
- Ford, Caroline. 1993. *Creating the Nation in Provincial France: Religion and Political Identity in Brittany*. Princeton: Princeton University Press.
- Gellner, Ernest. 1983. *Nations and Nationalism*. Ithaca: Cornell University Press.
- Hobsbawm, Eric. 1990. *Nations and Nationalism Since 1780: Programme, Myth, Reality*. New York: Cambridge University Press.
- Hobsbawm, Eric & Terence Ranger. 1983. *The Invention of Tradition*. New York: Cambridge University Press.
- Laitin, David. 1986. *Hegemony and Culture: Politics and Change among the Yoruba*. Chicago: University of Chicago Press.
- Laitin, David. 1992. *Language Repertoires and State Construction in Africa*. New York: Cambridge University Press.
- Laitin, David. 1998. *Identity in Formation*. Ithaca: Cornell University Press.
- Lawrence, Adria K. 2013. *Imperial Rule and the Politics of Nationalism: Anti-Colonial Protest in the French Empire*. New York: Cambridge University Press.
- Marx, Anthony. 1998. *Making Race and Nation: A Comparison of South Africa, the United States, and Brazil*. New York: Cambridge University Press.
- Mendelsohn, Ezra. 1983. *The Jews of East Central Europe: Between the World Wars*. Bloomington: Indiana University Press.
- Sahlins, Peter. 1989. *Boundaries: The Making of France and Spain in the Pyrenees*. Berkeley: University of California Press.
- Soysal, Yasmin. 1994. *Limits of Citizenship: Migrants and Postnational Membership in Europe*. Chicago: University of Chicago Press.
- Eugen Weber. 1976. *Peasants into Frenchmen: The Modernization of Rural France, 1870-1914*. Stanford: Stanford University Press.

### **Week 6 (September 25): Regimes, Concepts, Measurement**

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapter 5.

- *Required:*

- Cheibub, José Antonio, Jennifer Gandhi, & James Raymond Vreeland. 2010. “Democracy and Dictatorship Revisited.” *Public Choice* 143: 67-101.

- Dahl, Robert. 1971. *Polyarchy: Participation and Opposition*. New Haven: Yale University Press. pp. 1-16, 33-47.
- Przeworski, Adam, Michael E. Alvarez, José Antonio Cheibub & Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press.
- Seawright, Jason & David Collier. 2014. “Rival Strategies of Validation: Tools for Evaluating Measures of Democracy.” *Comparative Political Studies* 47: 111-138.

- *Recommended:*

*Conceptualization, Measurement*

- Adcock, Robert and David Collier. 2001. “Measurement Validity: A Shared Standard for Qualitative and Quantitative Research.” *American Political Science Review* 95: 529-546.
- Collier, David, Jody LaPorte, & Jason Seawright. 2012. “Putting Typologies to Work: Concept Formation, Measurement, and Analytic Rigor.” *Political Research Quarterly* 65: 217-232.
- Collier, David and James E. Mahon, Jr. 1993. “Conceptual ‘Stretching’ Revisited: Adapting Categories in Comparative Analysis.” *American Political Science Review* 87: 845-855.
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## Week 7 (October 2): Development and Democracy

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- Ansell, Ben & David Samuels. 2014. *Inequality and Democratization: An Elite-Competition Approach*. New York: Cambridge University Press.
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- *Recommended:*

*Economic Development, Inequality, and Democracy*

- Acemoglu, Daron & James A. Robinson. 2000. ‘Why Did the West Extend the Franchise? Democracy, Inequality, and Growth in Historical Perspective.’ *Quarterly Journal of Economics* 115: 1167-1199.
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#### *Culture, Development, and Democracy*

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## Week 8 (October 9): Institutions Under Dictatorship

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapters 10.

- *Required:*

- Gandhi, Jennifer & Adam Przeworski. 2006. “Cooperation, Cooptation, and Rebellion Under Dictatorships.” *Economics and Politics* 18: 1-26.
- Malesky, Edmund & Paul Schuler. 2010. “Nodding or Needling: Analyzing Delegate Responsiveness in an Authoritarian Parliament.” *American Political Science Review* 104: 482-502.
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- *Recommended:*

*Typologies*

- Cheibub, José Antonio, Jennifer Gandhi, & James Raymond Vreeland. 2010. “Democracy and Dictatorship Revisited.” *Public Choice* 143: 67-101.
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### *Institutions*

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- Gandhi, Jennifer & Ellen Lust-Okar. 2009. “Elections Under Authoritarianism.” *Annual Review of Political Science* 12: 403-422.
- Gandhi, Jennifer & Adam Przeworski. 2007. “Authoritarian Institutions and the Survival of Autocrats.” *Comparative Political Studies* 40: 1279-1301.
- Geddes, Barbara. 2003. *Paradigms and Sand Castles: Theory Building and Research Design in Comparative Politics*. Ann Arbor: University of Michigan Press.
- Gershenson, Dmitriy & Hershel I. Grossman. 2001. “Cooptation and Repression in the Soviet Union.” *Economics and Politics* 13: 31-47.
- Greene, Kenneth F. 2009. “The Political Economy of Authoritarian Single-Party Dominance.” *Comparative Political Studies* 43: 807-834.
- Hong, Ji Yeon & Sunkyoung Park. Forthcoming. “Factories for Votes? How Authoritarian Leaders Gain Popular Support Using Targeted Industrial Policy.” *British Journal of Political Science*.

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  - Little, Andrew. 2014. “Fraud and Monitoring in Noncompetitive Elections.” *Political Science Research and Methods*.
  - Lust-Okar, Ellen. 2005. *Structuring Conflict in the Arab World: Incumbents, Opponents, and Institutions*. New York: Cambridge University Press.
  - Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. New York: Cambridge University Press.
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- Coups, Coup-Proofing, Repression*
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- Weeks, Jessica L. 2008. “Autocratic Audience Costs: Regime Type and Signaling Resolve.” *International Organization* 62: 35-64.

*Electoral Fraud, Election Observation, Censorship*

- Beaulieu, Emily, & Susan D. Hyde. 2009. “In the Shadow of Democracy Promotion: Strategic Manipulation, International Observers, and Election Boycotts.” *Comparative Political Studies* 42: 392-415.
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- Hyde, Susan. 2011. *The Pseudo-Democrat’s Dilemma: Why Election Observation Became an International Norm*. Ithaca, NY: Cornell University Press.
- Hyde, Susan & Nikolay Marinov. 2012. “Which Elections Can Be Lost?” *Political Analysis* 20: 191-210.
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- Ichino, Nahomi & Matthias Schündeln. 2012. “Deterring or Displacing Electoral Irregularities? Spillover Effects of Observers in a Randomized Field Experiment in Ghana.” *Journal of Politics* 74: 292-307.
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## Week 9 (October 16): Parliamentary, Presidential, and Semi-Presidential Regimes

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapter 12 pp. 453-458, Chapter 16 pp. 679-688.

- *Required:*

- Cheibub, José Antonio. 2006. *Presidentialism, Parliamentarism and Democracy*. New York: Cambridge University Press.
- Cheibub, José Antonio, Zachary Elkins, & Tom Ginsburg. 2013. “Beyond Presidentialism and Parliamentarism.” *British Journal of Political Science* 44: 515-544.
- Tsebelis, George. 1995. ‘Decision Making in Political Systems: Veto Players in Presidentialism, Parliamentarism, Multicameralism, and Multipartyism.’ *British Journal of Political Science* 25: 289-326.

- *Recommended:*

*Parliamentary, Presidential, and Semi-Presidential Regimes*

- Carey, John & Matthew Shugart. 1998. *Executive Decree Authority*. Cambridge: Cambridge University Press.
- Cheibub, José Antonio. 2002. “Minority Governments, Deadlock Situations, and the Survival of Presidential Democracies.” *Comparative Political Studies* 35: 284-312.
- Cheibub, José Antonio & Svitlana Chernykh. 2009. “Are Semi-Presidential Constitutions Bad for Democratic Performance.” *Constitutional Political Economy* 20: 202-229.
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- Elgie, Robert. 2011. *Semi-Presidentialism: Sub-Types and Democratic Performance*. Oxford: Oxford University Press.
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- Mainwaring, Scott. 1993. “Presidentialism, Multipartyism, and Democracy: The Difficult Combination.” *Comparative Political Studies* 26: 198-228.
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- Perez-Liñan, Anibal. 2010. *Presidential Impeachment and the New Political Instability in Latin America*. New York: Cambridge University Press.



- Samuels, David. 2007. “Separation of Powers.” In Carles Boix & Susan C. Stokes (eds) *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press.
- Samuels, David & Matthew Soberg Shugart. 2010. *Presidents, Parties, and Prime Ministers: How the Separation of Powers Affects Party Organization and Behavior*. New York: Cambridge University Press.
- Shugart, Matthew Soberg & John M. Carey. 1997. *Presidents and Assemblies: Constitutional Design and Electoral Dynamics*. New York: Cambridge University Press. Chapters 7-8.
- Stepan, Alfred & Cindy Skach. 1993. “Constitutional Frameworks and Democratic Consolidation: Parliamentarism versus Presidentialism.” *World Politics* 46: 1-22.
- Tavits, Margit. 2009. *Presidents with Prime Ministers*. Oxford: Oxford University Press.
- Tsebelis, George. 1999. “Veto Players and Law Production in Parliamentary Democracies: An Empirical Analysis.” *American Political Science Review* 93: 591-608.
- Tsebelis, George. 2002. *Veto Players: How Political Institutions Work*. Princeton: Princeton University Press.

## Week 10 (October 23): Governments

- *Background:*

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- *Required:*

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<sup>1</sup>There was a follow-up debate about this paper:

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*Delegation, Principal-Agent*

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#### *Voters*

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### **Week 11 (October 30): Electoral Rules and Party Systems**

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapters 13-14.

- *Required:*

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- Clark, William Roberts & Matt Golder. 2006. “Rehabilitating Duverger’s Theory: Testing the Mechanical and Strategic Modifying Effects of Electoral Laws.” *Comparative Political Studies* 39: 679-708.
- Kedar, Orit. 2009. *Voting for Policy, Not Parties: How Voters Compensate for Power Sharing*. New York: Cambridge University Press.

- *Recommended:*

*Electoral System Choice*

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- Boix, Carles. 1999. “Setting the Rules of the Game: The Choice of Electoral Systems in Advanced Democracies.” *American Political Science Review* 93: 609-624.
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*Electoral Rules and Party Systems*

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## Week 12 (November 6): Accountability and Representation

- *Background:*
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- *Required:*
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  - Golder, Matt & Stramski, Jacek. 2010. “Ideological Congruence and Electoral Institutions.” *American Journal of Political Science* 54: 90-106.
  - Htun, Mala. 2016. *Inclusions Without Representation: Gender Quotas and Ethnic Reservations in Latin America*. New York: Cambridge University Press.
- *Recommended:*
  - Anderson, Christopher J. & Christine A. Guillory. 1997. “Political Institutions and Satisfaction with Democracy: A Cross-National Analysis of Consensus and Majoritarian Systems.” *American Political Science Review* 91: 66-81.
  - Baldez, Lisa. 2004. “Elected Bodies: Gender Quota Laws for Legislative Candidates in Mexico.” *Legislative Studies Quarterly* 29: 231-258.
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- Pitkin, Hanna F. 1972. *The Concept of Representation*. Chicago: University of Chicago Press.
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- Powell, G. Bingham & Georg Vanberg. 2000. “Election Laws, Disproportionality and the Left-Right Dimension.” *British Journal of Political Science* 30: 383-411.
- Powell, G. Bingham & Guy D. Whitten. 1993. “A Cross-National Analysis of Economic Voting: Taking Account of the Political Context.” *American Journal of Political Science* 37: 391-414.
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- Rickard, Stephanie J. 2012. “Electoral Systems, Voters’ Interests and Geographic Dispersion.” *British Journal of Political Science* 42: 855-877.
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- Stadelmann, David, Marco Portmann, & Reiner Eichenberger. 2012. “Evaluating the Median Voter Model’s Explanatory Power.” *Economic Letters* 114: 312-314.
- Stokes, Susan C. *Mandates and Democracy*. New York: Cambridge University Press.

## Week 13 (November 13): Political economy

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapter 16, pp. 726-745.

- *Required:*

- Bawn, Kathleen & Frances Rosenbluth. 2006. “Short versus Long Coalitions: Electoral Accountability and the Size of the Public Sector.” *American Journal of Political Science* 50: 251-65.
- Iversen, Torben & David Soskice. 2006. “Electoral Institutions and the Politics of Coalitions: Why Some Democracies Redistribute More than Others.” *American Political Science Review* 100: 165-181.
- Stokes, Susan C., Thad Dunning, Marcello Nazareno, & Valeria Brusco. 2013. *Brokers, Voters, and Clientelism: The Puzzle of Distributive Politics*. New York: Cambridge University Press.

- *Recommended:*

*Economic Voting*

- King, Gary, Ori Rosen, Martin Tanner, Alexander Wagner. 2008. “Ordinary Economic Voting Behavior in the Extraordinary Election of Adolf Hitler.” *Journal of Economic History* 68: 951-996.
- Lewis-Beck, Michael & Mary Stegmaier. 2000. “Economic Determinants of Electoral Outcomes.” *Annual Review of Political Science* 3: 183-219.
- Stevenson, Randolph & Raymond M. Duch. 2008. *The Economic Vote: How Political and Economic Institutions Condition Election Results*. New York: Cambridge University Press.
- Tucker, Joshua A. 2006. *Regional Economic Voting: Russia, Poland, Hungary, Slovakia and the Czech Republic, 1990-1999*. New York: Cambridge University Press.

*Partisan Economic Policy*

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- Clark, William Roberts. 2003. *Capitalism, Not Globalism: Capital Mobility, Central Bank Independence, and the Political Control of the Economy*. Ann Arbor: University of Michigan Press.
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- Gourevitch, Peter. 1986. *Politics in Hard Times: Comparative Responses to International Economic Crises*. Ithaca: Cornell University Press.

*Other Stuff*

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- Brooks, Sarah M. 2009. *Social Protection and the Market in Latin America*. New York: Cambridge University Press.
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- Hallerberg, Mark. 2004. *Domestic Budgets in a United Europe*. Cornell University Press.
- Hallerberg, Mark & Patrik Marier: 2004. “Executive Authority, the Personal Vote, and Budget Discipline in Latin American and Caribbean Countries.” *American Journal of Political Science* 48: 571-587.
- Kayser, Mark Andreas. 2005. “Trade and the Timing of Elections.” *British Journal of Political Science* 35:
- Kayser, Mark Andreas. 2005. “Who Surfs, Who Manipulates? The Determinants of Opportunistic Election Timing and Electorally-Motivated Economic Intervention.” *American Political Science Review* 99: 17-28.
- Kayser, Mark Andreas & Ronald Rogowski. 2002. “Majoritarian Electoral Systems and Consumer Power: Price-Level Evidence from the OECD Countries.” *American Journal of Political Science* 46: 526-539.
- McGillivray, Fiona. 2004. *Privileging Industry: The Comparative Politics of Trade and Industrial Policy*. Princeton, NJ: Princeton University Press.
- Nooruddin, Irfan. 2011. *Coalition Politics and Economic Development*. New York: Cambridge University Press.
- Persson, Torsten & Guido Tabellini. 2000. *Political Economics: Explaining Economic Policy*. Cambridge, MA: MIT Press.
- Rodden, Jonathan. 2006. *Hamilton’s Paradox: The Promise and Peril of Fiscal Federalism*. New York: Cambridge University Press.
- Rogowski, Ronald. 1989. *Commerce and Coalitions: How Trade Affects Domestic Political Alignments*. Princeton: Princeton University Press.
- Smith, Alastair. 2004. *Election Timing*. New York: Cambridge University Press.

**Week 14 (November 20): Thanksgiving**

**November 27: Review Due.**

## Week 15 (November 27): Identity and Ethnic Conflict

- *Background:*

- Clark, William Roberts, Matt Golder, & Sona Golder. 2017. *Principles of Comparative Politics*. Washington D.C.: CQ Press. Chapter 16, pp. 746-762.

- *Required:*

- Dancygier, Rafaela. 2010. *Immigration and Conflict in Europe*. New York: Cambridge University Press.
- García-Ponce, Omar. 2017. “Civil War and Female Political Participation: The Case of Peru.” In Golder, Matt and Sona Golder (eds.) “Symposium: Women/Gender and Comparative Politics.” CP: Newsletter of the Comparative Politics Organized Section of the American Political Science Association 27(1): 25-31.
- Habyarimana, James, Macartan Humphreys, Daniel N. Posner & Jeremy M. Weinstein. 2007. “Why Does Ethnic Diversity Undermine Public Goods Provision.” *American Political Science Review* 101: 709-725.
- Ichino, Nahomi & Noah L. Nathan. 2013. “Crossing the Line: Local Ethnic Geography and Voting in Ghana.” *American Political Science Review* 107: 344-61.

- *Recommended:*

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- Adida, Claire L., David D. Laitin and Marie-Anne Valfort. 2015. *Why Muslim Integration Fails in Christian-Heritage Societies*. Cambridge, MA.: Harvard University Press.
- Alesina, Albert, Reza Baqir, & William Easterly. 1999. “Public Goods and Ethnic Divisions.” *Quarterly Journal of Economics* 114: 1243-1284.
- Birnir, Jóhanna Kristín. 2007. *Ethnicity and Electoral Politics*. New York: Cambridge University Press.
- Brancati, Dawn. 2006. “Decentralization: Fueling the Fire or Dampening the Flames of Ethnic Conflict and Secessionism?” *International Organization* 60: 651-685.
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**Week 16 (December 4): No Class**